

NH-PUC 1MAR17PM12:18

2/27/2017

State of New Hampshire  
Public Utilities Commission  
21 South Fruit Street, Suite 10  
Concord, NH 03301

Dear Sir/Madam:

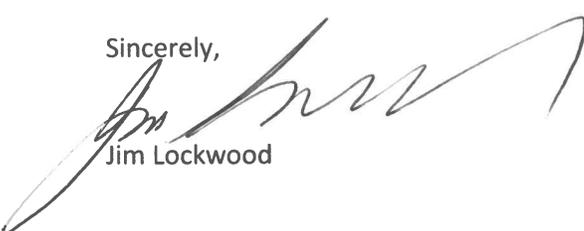
Enclosed please find the following documentation to register my company (The Energy Executives LLC) as an Aggregator for electricity in the State of New Hampshire. I have been in the industry for 17 years, before starting my company I worked for 13 years as an energy consultant for Northeast Energy/World Energy. I also worked as a Business Development Manager for Nextera Energy, a Large National Supplier:

1. Notice of Intent by Aggregator
  - a. Original
  - b. 2 Copies of original
  - c. An electronic copy of the of form 2006.02 on CD
  - d. Electronic version emailed to [executive.director@puc.nh.gov](mailto:executive.director@puc.nh.gov)
  
2. Check in the amount of \$250 for registration fee.
3. Copy of certificate of Authority to do business in the state of New Hampshire.
4. Please accept this statement as our admittance of compliance with the terms and conditions stated in PUC 2003.04 as we understand them.

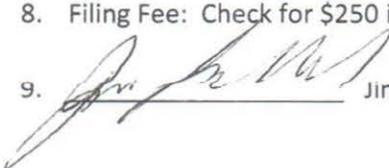
If you have any additional questions please contact me directly at 413-302-3124.

I appreciate your assistance with the filing process.

Sincerely,

  
Jim Lockwood

NOTICE OF INTENT BY AGGEGATOR

1. Legal Name: The Energy Executives LLC
2. Address: 1028 Boulevard Suite 301, West Hartford CT 06119  
Telephone: 800-325-6830  
Fax: 888-884-2292  
Email: [jim@theenergyexecs.com](mailto:jim@theenergyexecs.com)  
Web: theenergyexecs.com
3. Principal: Name: Jim Lockwood  
Title: President  
Phone: 413-302-3124  
Fax: 888-884-2292  
Address: 1028 Boulevard Suite 301, West Hartford CT 06119
4. Toll Free Customer Service Number: 800-325-6830
5. Certificate of Authorization: See attached Business ID# 764921
6. Geographic Area: To cover entire state (Eversource, Liberty, NHEC, Unitil)
7. Supplier Interest: The Energy Executives LLC does not represent any supplier interest.
8. Filing Fee: Check for \$250 included
9.  Jim Lockwood



# State of New Hampshire

## Department of State



Accepted Date: 2/22/2017  
Business Name: THE ENERGY EXECUTIVES LLC  
Principal Office Address: 1028 Boulevard Suite 301, West Hartford, CT, 06119, USA

RE: Acceptance of Business Formation

This letter is to confirm the acceptance of the following business formation:

Business ID: 764921  
Tracking#: 3522101  
Effective Date: 02/22/2017  
Payment Transaction #: 173583

To maintain your business registration in good standing, you must maintain a Registered Agent at all times. You must also file an annual report no later than April 1st of each year. To file your annual report please go to <http://www.sos.nh.gov/corporate/annualreport>.

It is incumbent upon you to keep this office informed of address or email changes to ensure that all communications from our office reaches you.  
*There is no charge for address changes.*

Please visit our website for helpful information regarding all your business needs. If you require assistance or should you have any questions, you may contact the Corporation Division using the information provided below. Please reference your Business ID in your communication.

Thank you.  
New Hampshire Department of State  
Corporation Division



# State of New Hampshire Department of State

Filed  
Date Filed: 02/22/2017  
Effective Date: 02/22/2017  
Business ID: 764921  
William M. Gardner  
Secretary of State

Form FLLC-1  
RSA 304-C:175

## APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS OF THE NEW HAMPSHIRE LIMITED LIABILITY COMPANY LAWS, THE UNDERSIGNED HEREBY APPLIES FOR REGISTRATION TO TRANSACT BUSINESS IN NEW HAMPSHIRE AND FOR THAT PURPOSE SUBMITS THE FOLLOWING STATEMENT:

FIRST: The name of the limited liability company is

**THE ENERGY EXECUTIVES LLC**

SECOND: The name which it proposes to register and do business in New Hampshire is

**THE ENERGY EXECUTIVES LLC**

THIRD: It is formed under the laws of Connecticut

FOURTH: The date of its formation is 06/16/2014

DURATION: The period of duration is Perpetual

FIFTH: The nature of the business or purposes to be conducted or promoted in New Hampshire is

NAICS CODE

NAICS SUBCODE

**Professional, Scientific, and Technical Services**

**Other Management Consulting Services**

SIXTH: The name of its registered agent **IN NEW HAMPSHIRE** is InCorp Services, Inc.

and the physical address, of its initial registered office **IN NEW HAMPSHIRE** is

152 South Mast Street, Goffstown, NH, 03045, USA

SEVENTH : The sale or offer for sale of membership interests of the limited liability company will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-B).

### PRINCIPAL OFFICE ADDRESS:

PRINCIPAL OFFICE BUSINESS ADDRESS

PRINCIPAL OFFICE MAILING ADDRESS

**1028 Boulevard Suite 301, West Hartford, CT, 06119,  
USA**

**1028 Boulevard Suite 301, West Hartford, CT, 06119,  
USA**

**Mailing Address** - Corporation Division, NH Department of State, 107 North Main Street, Room 204, Concord, NH 03301-4989

**Physical Location** - State House Annex, 3rd Floor, Room 317, 25 Capitol Street, Concord, NH

**Phone:** (603)271-3246 | **Fax:** (603)271-3247 | **Email:** corporate@sos.nh.gov | **Website:** sos.nh.gov

APPLICATION FOR REGISTRATION AS A  
FOREIGN LIMITED LIABILITY COMPANY

Form FLLC-1  
(Cont.)

THE ENERGY EXECUTIVES LLC

**CERTIFY:**

By checking this box and continuing, each signatory certifies that the information provided herein is true, accurate, and complete to the best of his/her knowledge and belief, and that he/she has authorized the affixing of his/her electronic signature in accordance with the Electronic Signatures in Global and National Commerce Act (e-Sign) and N.H. RSA § 294-E. Further, each signatory understands that his/her electronic signature has full legal effect and enforceability and he/she intends this form, as signed, to be filed with the office of the New Hampshire Secretary of State.

**EFFECTIVE DATE:**

This statement shall be effective from: 02/22/2017

\*Signature: Jim Lockwood

Title: President

Date signed: 02/22/2017

Complete address of person signing: 1028 Boulevard Suite 301, West Hartford, CT, 06119, USA

Notice: The membership interests of the limited liability company: 1) have been registered or when offered will be registered under RSA 421-B; 2) are exempted or when offered will be exempted under RSA 421-B; 3) are or will be offered in a transaction exempted from registration under RSA 421-B; 4) are not securities under RSA 421-B; OR 5) are federal covered securities under RSA 421-B. The statement above shall not by itself constitute a registration or a notice of exemption from registration of securities within the meaning of sections 448 and 461(i)(3) of the United States Internal revenue Code and the regulation promulgated thereunder.

DISCLAIMER: All documents filed with the Corporation Division become public records and will be available for public inspection in either tangible or electronic form.

**Mailing Address** - Corporation Division, NH Department of State, 107 North Main Street, Room 204, Concord, NH 03301-4989

**Physical Location** - State House Annex, 3rdFloor, Room 317, 25 Capitol Street, Concord, NH

**Phone:** (603)271-3246 | **Fax:**(603)271-3247 | **Email:** corporate@ sos.nh.gov | **Website:** sos.nh.gov